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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/295,966 04/21/99 IKUDOME K 34503/WWM/A5

EXAMINER

ELISCA, P

ART UNIT

PAPER NUMBER

2161

DATE MAILED: 01/30/01

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WM02/0130

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.

09/295,966

Applicant(s)

Koichiro, Ikudome et al.

Examiner

Pierre Eddy Elisca

Group Art Unit

2161

☒ Responsive to communication(s) filed on Apr 21, 1999

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire THREE month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

## Disposition of Claims

☒ Claim(s) 1-29 is/are pending in the application.

Of the above, claim(s) none is/are withdrawn from consideration.

☐ Claim(s) \_\_\_\_\_ is/are allowed.

☒ Claim(s) 1-29 is/are rejected.

☐ Claim(s) \_\_\_\_\_ is/are objected to.

☐ Claims \_\_\_\_\_ are subject to restriction or election requirement.

## Application Papers

☒ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been  
☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

☒ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 4 and 5

☐ Interview Summary, PTO-413

☒ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

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Art Unit: 2161



**Examiner Pierre Eddy Elisca**

**United States Department of Commerce**

**Patent and Trademark Office**

**Washington, D. C 20231**

### **DETAILED ACTION**

1. This office action is in response to application serial number 09/295,966, filed on 04/21/1999 with a provisional application 60/084,014, filed on 05/04/1998.

2. Claims 1-29 are presented for examination.

#### ***Claim Rejections - 35 USC § 102***

3 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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**4. Claims 1-29 are rejected under 35 U.S.C. 102 (b) as being anticipated by Shiva Corp. Horowitz et al. (WO 96/05549).**

**As per claims 1, 8, 15, Horowitz** discloses a system/method comprising:  
a dial-up network server (or network server) that receives user IDs from user's computers (see., abstract);  
a redirection server (a firewall or filter or gateway) to the dial-up network server, an authentication accounting server connected to the database, the dial-up network server and the redirection server (see., figs 1 and 2, col 3, lines 8-34, col 4, lines 1-34);  
wherein the dial-up network server communicates a first user ID and a temporarily assigned network address for the first user ID to the authentication accounting server (see., abstract, col 4, lines 23-34);  
and  
wherein the authentication accounting server accesses the database and communicates the individualized rule set that correlates with the user ID and the temporarily assigned network address to the redirection server (see., abstract, col 7, lines 1-34, col 9, lines 4-34).

**As per claims 2-6, 9-13, 16-29 Horowitz** discloses the claimed limitation, wherein the redirection server (or filter) further provides control over a plurality of data from the users' computers as a function of the individualized rule set (see., abstract, col 9, lines 13-34).

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As per claims 7, 14, Horowitz discloses the claimed limitation, wherein the database entires for a plurality of the plurality of users's IDs are correlated with a common individualized rule set (see., abstract, col 8, lines 28-34, col 9, lines 24-34).

### CONCLUSION

5. The prior art made of record and relied upon is considered to applicant's disclosure.

1. WO 98/26548                      Li et al.

This patent relates to an Internet access device uses an automatic configuration process to handle the task of configuring the Internet access device at a consumer site for communication with the Internet (see., abstract).

2. EP 0854621A1                      Zenchelsky, Daniel N.

This patent teaches a system and method for providing peer-level access control on networks that carry packets of information, each packet having a 5-tuple having a source and destination address, a source and destination port, and a protocol identifier (see., abstract)

6. Any inquiry concerning this communication from the examiner should be directed to Pierre Eddy Elisca at (703) 305-3987. The examiner can normally be reached on Monday, Tuesday, and Wednesday from 5:30AM. to 6:00PM.

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If any attempt to reach the examiner by telephone is unsuccessful, the examiner's supervisor, James Trammell can be reached on (703) 305-9769.

**Any response to this action should be mailed to:**

Commissioner of patents and Trademarks

Washington, D.C. 20231

**or faxed to:**

(703) 308-9051, (for formal communications intended for entry )

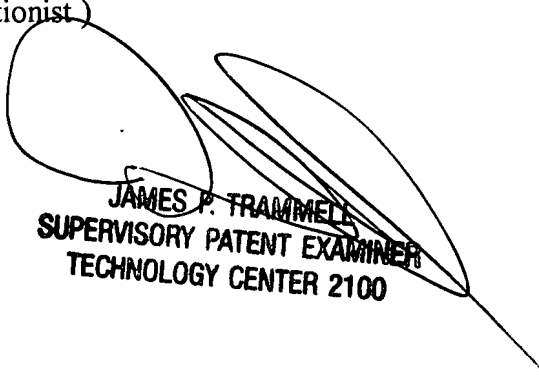
**OR:**

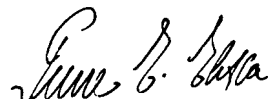
(703) 305-3718 ( for informal or draft communications, please label

"PROPOSED" or " DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA.,

Sixth floor (receptionist )

  
JAMES P. TRAMMELL  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100

  
Pierre Eddy Elisca

Patent Examiner

January 22, 2001